

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Yuichi SAWAI, et al.  
Serial No.: Rule 1.53(b) Continuation of U.S. Patent Application  
Serial No. 09/953,559 filed September 17, 2001  
Filed: On Even Date Herewith  
For: A FILM FOR ORGANIC EL DEVICE AND AN  
ORGANIC EL DEVICE USING THE FILM  
Art Unit: Unassigned  
Examiner: Unassigned  
Docket No.: 500.40666CX1

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §1.97 AND §1.98**

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

August 19, 2003

Sir:

Pursuant to Applicants' duty of disclosure, enclosed please find a List, on a form substantially equivalent to Form PTO-1449, of documents cited in connection with a prior application of the above-identified application, Application No. 09/953,559, filed September 17, 2001.

Since Application No. 09/953,559 is being relied upon under 35 USC § 120 in the above-identified application, copies of the listed documents are not enclosed.  
See 37 CFR § 1.98(d).

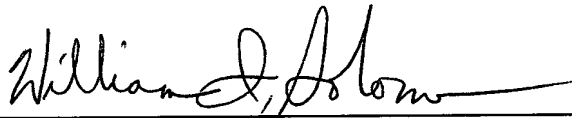
This Information Disclosure Statement is being submitted concurrently with the filing of the above-identified application. Accordingly, requirements of 37 CFR 1.97(b) are clearly satisfied.

In view of the foregoing, it is respectfully submitted that all applicable requirements of 37 CFR § 1.97 and 1.98 have been satisfied in connection with present submission of the enclosed List. Accordingly, consideration of the listed documents, upon examination of the above-identified application, is respectfully requested.

Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account No. 01-2135 (500.40666CX1) and please credit any excess fees to such Deposit Account.

Respectfully submitted,

ANTONELLI, TERRY, STOUT & KRAUS, LLP

A handwritten signature in black ink, appearing to read "William I. Solomon", is written over a horizontal line.

William I. Solomon  
Registration No. 28,565  
(703) 312-6600

WIS:nbf

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>		<b>Complete if Known</b>			
		Application Number			
		Filing Date	On Even Date Herewith		
		First Named Inventor	SAWAI, et al.		
		Prior Art Unit	1774		
Prior Examiner Name	Dawn L. Garrett				
Sheet	1	of	1	Attorney Docket Number	500.40666CX1

U.S. PATENT DOCUMENTS						
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)				
		US- 5,451,459		09/1995	Ogawa et al.	
		US- 5,977,241		11/1999	Koloski et al.	
		US- 5,068,884		05/2000	Rose et al.	
		US- 6,268,695		07/2001	Affinito	
		US- 6,413,645		07/2002	Graff et al.	
		US- 6,492,026		12/2002	Graff et al.	
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				

FOREIGN PATENT DOCUMENTS							
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Foreign Patent Document		Publication Date MM-DD-YYYY	Country	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)					
		JP 1180934 A (+ Abstract)		03.26.1999	Japan		
		JP 11332979 (+ Abstract)		12.07.1999	Japan		
		JP 05-051460		03.2.1993	Japan		
<b>OTHER DOCUMENTS</b>							
European Search Report , European Application No. EP 03 00 4933, dated May 21, 2003							

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.